

Attachment K

DFM Privacy Notice

This notice is being provided to you in accordance with the Securities and Exchange Commission's rule regarding the privacy of consumer financial information ("Regulation S-P"). Please take the time to read and understand the privacy policies and procedures that we have implemented to safeguard your Non-Public personal information.¹

INFORMATION WE COLLECT

Dorman Financial Management, P.A. must collect certain personally identifiable financial information about its Clients to provide financial services and products. The personally identifiable financial information that we gather during the normal course of doing business with you may include:

1. Information we receive from you on applications or other forms;
2. information about your transactions with us, our affiliates, or others;
3. information collected through an Internet "cookie" (an information collecting device from a web server); and
4. information we receive from a consumer reporting agency.

INFORMATION WE DISCLOSE

We do not disclose any Non-Public personal information about our Clients or former Clients to anyone, except as permitted or required by law, or as necessary to provide services to you. In accordance with Sections 248.13 through 248.15 of Regulation S-P, we may disclose all of the information we collect, as described above, to certain non-affiliated third parties such as, but not limited to, attorneys, accountants, auditors and persons or entities that are assessing our compliance with industry standards. We enter into contractual agreements with all non-affiliated third parties that prohibit such third parties from disclosing or using the information other than to carry out the purposes for which we disclose the information. As required by Regulation S-P, the Client has the option to opt-out of sharing Non-Public personal information with non-affiliated third parties that DFM uses to perform services. If the client decides to opt-out of sharing their Non-Public personal information to third parties, the client is aware that certain services DFM offers through the non-affiliated third party cannot be rendered. This includes, but may not be limited to, client reporting with performance data, financial planning services created with third party software, etc.

CONFIDENTIALITY AND SECURITY

We restrict access to Non-Public personal information about you to those Employees who need to know that information to provide financial products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your Non-Public personal information.

¹ Non-Public personal information means personally identifiable financial information and any list, description or other grouping of Clients that is derived using any personally identifiable financial information that is not publicly available.